

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carter, et al.

Art Unit: Unassigned

Serial No.: 10/678,919

Examiner: Unassigned

Filed: October 3, 2003

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For:

METHODS AND APPARATUS

FOR OPERATING GAS TURBINE ENGINES

#### **CERTIFICATE OF MAILING**

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 5, 2004.

Rozell Williams, Jr., Reg. No.44,403

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

This paper is submitted in accordance with:

<b>∑</b> 2.	37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office
	Action, on the merits, or prior to first office action after filing an RCE]

3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

	☐ a. ☐ b.	The required Certification made in item The \$180.00 fee specified in 37 CFR 1 Disclosure Statement is authorized in it	.17(p) for submission of this Information			
☐ 4.	37 CF	R 1.97(d): [on or before issue fee payme	nt]; and			
	☐ a. ☐ b.	The required Certification is stated in The \$180.00 fee specified in 37 C.F.R. Disclosure Statement is authorized in its	1.17(p) for submission of this Information			
☐ 5.	Certifi	Certification				
	☐ a.	Each item of information contained in communication from a foreign patent of	<del>_</del>			
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⊠ 6.	∑ 6. Payment of all applicable fees:					
		Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. Enclosed is a check in the amount of \$ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.				
This d	ocumen	nt is submitted in duplicate.  Respectfo	ılly Submitted,			
		Rozell W Armstror One Met St. Louis	illiams, Jr., Reg. No. 44,403 g Teasdale LLP copolitan Square, Suite 2600 Missouri 63102			
		(314) 623	-5070			



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	☐ a. ☐ b.	The required Certification made in item 5 below; or The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.			
<b>4</b> .	37 CF	R 1.97(d): [on or before issue fee payment]; and			
	☐ a. ☐ b.	The required Certification is stated in item 5 below; and The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.			
	Certifi	cation			
	☐ a.	communication from a foreign patent office in a counterpart foreign patent			
	☐ b.	application not more than three months prior to the filing of this Statement; or No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.			
<b>⊠</b> 6.	Payme	ent of all applicable fees:			
		Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. Enclosed is a check in the amount of \$in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.			
This d	locume:	nt is submitted in duplicate.  Respectfully Submitted,			
		Rozell Williams, Jr., Reg. No. 44,403 Armstrong Teasdale LLP One Metropolitan Square, Suite 2600 St. Louis, Missouri 63102 (314) 621-5070			

Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449/PTO

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/678,919		
Filing Date	October 3, 2003		
First Named Inventor	Carter, et al.		
Art Unit			
Examiner Name			
Attorney Docket Number	125952		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,470,666-B1	10-29-2002	Przytulski, et al.	
		<sup>US-</sup> 5,619,850	04-15-1997	Palmer, et al.	
		US-			
		US-			
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		US-			

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	<b>−</b> 6
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	1	Or Relevant Figures Appear	<u>'</u>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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